

JC931 U.S. PTO
09/27/01

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09-28-01 A

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL		Attorney Docket No. 40715-260477
		First Inventor O'Brien, Timothy J.
		Title Repeat Sequences of the CA125 Gene and Their Use for Diagnostic and Therapeutic Interventions
		Express Mail Label No. EL834341252US

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

JC821 U.S. PTO
09/965738

09/27/01

APPLICATION ELEMENTS <i>See MPEP chapter 600 concerning utility patent application contents.</i>		ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original and a duplicate for fee processing)</i>		7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (<i>Appendix</i>)
2. <input checked="" type="checkbox"/> Applicant claims small entity status. <i>See 37 CFR 1.27.</i>		8. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i> <ol style="list-style-type: none"> <input checked="" type="checkbox"/> Computer Readable Form (CFR) Specification Sequence Listing on: <ol style="list-style-type: none"> <input type="checkbox"/> CD-ROM or CD-R (2 copies); or <input checked="" type="checkbox"/> paper <input checked="" type="checkbox"/> Statements verifying identity of above copies
3. <input checked="" type="checkbox"/> Specification Total Pages 126 <i>(preferred arrangement set forth below)</i> <ul style="list-style-type: none"> - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (<i>if filed</i>) - Detailed Description - Claim(s) - Abstract of the Disclosure 		9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))
4. <input checked="" type="checkbox"/> Figures 1 – 10 <i>Drawing(s) (35 U.S.C. 113)</i> Total Sheets 17		10. <input checked="" type="checkbox"/> 37 C.F.R. § 3.73(b) Statement in POA <input checked="" type="checkbox"/> Power of Attorney
5. Oath or Declaration Total Pages 143 <ol style="list-style-type: none"> <input checked="" type="checkbox"/> Newly executed (original or copy) <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) <i>(for a continuation/divisional with Box 18 completed)</i> <ol style="list-style-type: none"> <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 		11. <input type="checkbox"/> English Translation Document (<i>if applicable</i>)
6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76		12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations
		13. <input type="checkbox"/> Preliminary Amendment
		14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i>
		15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i>
		16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
		17. <input checked="" type="checkbox"/> Other: Express Mail Certification EL834341252US Petition to Make Special Three (3) Checks

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP) of prior application No.: _____ / _____
Prior application Information: Examiner _____ Group / Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label	(Insert Customer No. or Attach bar code label here)			<input type="checkbox"/> or <input type="checkbox"/> Correspondence address below
Name	Pat Winston Kennedy, Esq.			
Address	Kilpatrick Stockton LLP			
City	Winston-Salem	State	NC	ZIP Code
Country	USA	Telephone	(336) 607-7336	Fax (336) 607-7500
Name (Print/Type)	Registration No. (Attorney/Agent)			36,970
Signature	Date			September 27, 2001

Pat Winston Kennedy 9-27-01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231. 897086

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FEE TRANSMITTAL**for FY 2001**

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 971.00)**Complete if Known**

Application Number	Unassigned
Filing Date	September 27, 2001
First Named Inventor	O'Brien, Timothy J. et al.
Examiner Name	Unassigned
Group / Art Unit	Unassigned

Attorney Docket No. 40715-260477

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number
[Redacted]

- Deposit Account Name
[Redacted]
- Charge Any Additional Fee Required under 37 CFR 1.16 and 1.17

- Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed:

Checks Nos. 38990, 38991 Credit card Money Order Other

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Fee Code	Large Entity Fee (\$)	Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	[Redacted]
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	[Redacted]
139	130	139	130	Non-English specification	[Redacted]
147	2,520	147	2,520	For filing a request for reexamination	[Redacted]
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	[Redacted]
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	[Redacted]
115	110	215	55	Extension for reply within first month	[Redacted]
116	390	216	195	Extension for reply within second month	[Redacted]
117	890	217	445	Extension for reply within third month	[Redacted]
118	1,390	218	695	Extension for reply within fourth month	[Redacted]
128	1,890	228	945	Extension for reply within fifth month	[Redacted]
119	310	219	155	Notice of Appeal	[Redacted]
120	310	220	155	Filing a brief in support of an appeal	[Redacted]
121	270	221	135	Request for oral hearing	[Redacted]
138	1,510	138	1,510	Petition to institute a public use proceeding	[Redacted]
140	110	240	55	Petition to revive - unavoidable	[Redacted]
141	1,240	241	620	Petition to revive - unintentional	[Redacted]
142	1,240	242	620	Utility issue fee (or reissue)	[Redacted]
143	440	243	220	Design issue fee	[Redacted]
144	600	244	300	Plant issue fee	[Redacted]
122	130	122	130	Petitions to the Commissioner	[Redacted]
123	130	123	130	Petitions related to provisional applications	[Redacted]
126	180	126	180	Submission of Information Disclosure Stmt	[Redacted]
581	40	581	40	Recording each patent assignment per property (times number of properties)	40
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	[Redacted]
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	[Redacted]
179	710	279	355	Request for Continued Examination (RCE)	[Redacted]
169	900	169	900	Request for expedited examination of a design application	[Redacted]
Other fee: Petition to Make Special to Advance Examination of Application					130
*Reduced by Basic Filing Fee Paid					SUBTOTAL (3) (\$ 170)

**or number previously paid, if greater; For Reissues, see above.

Complete (if applicable)

Name (Print/Type)	Pat Winston Kennedy	Registration No. Attorney/Agent	36,970	Telephone	(336) 607-7336
Signature	<i>Pat Winston Kennedy</i>				

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

40715-260477 / WINLIB01888389.1

EXPRESS MAIL CERTIFICATE

EL834341252US

"Express Mail" Label No. : EL834341252US
Date of Deposit : September 27, 2001
Serial No. : Unassigned
Applicant(s) : O'Brien, Timothy J. et al.
Filing Date : September 27, 2001
Title: REPEAT SEQUENCES OF THE CA125 GENE
AND THEIR USE FOR DIAGNOSTIC AND
THERAPEUTIC INTERVENTIONS

Examiner : Unassigned
Group Art Unit : Unassigned
Type of Document(s) : Utility Patent Application Transmittal
Specification: 126 Pages
Drawings: 17 Pages; FIGS. 1-10
Power of Attorney
Computer Readable Form (CFR) – Diskette
Verification Statement of Nucleotide Sequence
and/or Amino Acid Sequence Disclosures
Paper Copy of Sequence Listing – 286 Pages
Fee Transmittal Form FY 2001 (in duplicate)
Check #39000 - \$801.00 – Non-Provisional Filing Fee
for Small Entity with Extra Claims
Petition to Make Special Application Relating
to Cancer
Check #38991 - \$130.00 – Petition to Make Special Fee
Assignment Recordation Cover Sheet
Assignment
Check #38990 - \$40.00 – Assignment Recordation Fee
Declaration
Return Postcard

I hereby certify that the documents identified above are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to the Assistant Commissioner for Patents, Attn: Box Patent Application, Washington, D.C. 20231.


Madge Leonard (signature)
Madge Leonard